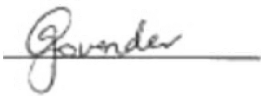


NSA Panel Technical Criteria Scoring						
CORP :						
Name and Surname of Evaluator						
Unique no of Evaluator						
Date of Evaluation Peformed						
Comments (if applicable)						
Name of Tenderer/Firm						
Any notes/issues/comments highlighted during						
TECHNICAL CRITERIA	WEIGHTINGS	MAXIMUM POINTS THAT CAN BE SCORED	ACTUAL POINTS SCORED	WEIGHTED SCORES		
CAPACITY	30	30	29	29		
KNOWLEDGE AND EXPERIENCE	45	45	41	41		
AUDIT METHODOLOGY/APPROACH	15	15	15	15		
QUALITY CONTROL	10	10	10	10		
	100	100	TOTAL FOR TECHNICAL CRITERIA	95		
TECHNICAL THRESHOLD IS 75%			SUPPLIER HAS	PASSED		
<div><div>Key</div><div>Cannot change this field</div><div>To be completed during evaluation</div><div>Error or failed</div><div>Pass</div></div>						
Area	Details		EVALUATOR'S SCORE			
Mandatory Criteria	a) Mandatory Professional Registration and Membership - Technical Evaluation Stage: All members of the proposed team must be active members of the IIA or South African Institute of Chartered Accountants (SAICA) at the time of tendering and for the entire duration of the contract: • The IIA membership or SAICA certificates must be provided for all members listed in the tender documents. If an active and current membership certificate is not provided, the team member will not be considered, and the firm will be disqualified. • Tenderers are discouraged from proposing team members who are not in possession of a valid membership certificated at the time of tender closing. As this will lead to disqualification of the tenderer’s proposal This is a mandatory requirement. Failure to meet this requirement at tender closing, the tenderer will be immediately disqualified.		YES			
	If all the above is yes, then the firm proceeds to the next stage of evaluation		PASS			
NO	DETAILS	FURTHER INFORMATION FOR THE SCORING		MAXIMUM POINTS FOR THIS SECTION	EVALUATOR'S SCORE	FILE, SECTION AND PAGE NUMBER FROM THE TENDER DOCUMENTATION WHERE THIS INFORMATION CAN BE FOUND
1			CAPACITY		29	
1.1	Available capacity to complete any work requirement nationally. The audit firm's total capacity in South Africa : Directors/Partners (maximum of 6 points) 1 point per 1 partner/director Managers (maximum of 6 points) 1 point per 1 manager Staff: - Professionals (maximum of 3 points) - 1 - 3 auditors - 1 point (excluding admin staff) - 4 - 8 auditors - 2 points (excluding admin staff) - 8 and above auditors - 3 points (excluding admin staff) - Trainees (maximum of 5 points): - 1 point per 3 trainees The audit firm has a presence and/or alliance internationally. (maximum of 2 points) (Yes - 2, No - 0)	The firm must have a table listing the number of - Directors/Partner, - Managers, - Staff (Professionals and Trainees (excluding admin)) available for all provinces. The table may include names and surnames or it may include the numbers per level/designation. Administrators will not be used for scoring purposes. This must be included in the Technical Proposal of the tender document. The firm must provide the information about the offices internationally or provide details on the alliance internationally. This must be included in the Technical Proposal of the tender document.		6	5	
				6	6	
				8	8	
				2	2	

NO	DETAILS	FURTHER INFORMATION FOR THE SCORING	MAXIMUM POINTS FOR THIS SECTION	EVALUATOR'S SCORE	FILE, SECTION AND PAGE NUMBER FROM THE TENDER DOCUMENTATION WHERE THIS INFORMATION CAN BE FOUND	TOTAL POINTS PER CATEGORY
1.2	Location of offices in South Africa: (Maximum total points for this section is 8) - 5 points if Head Office is in Gauteng. (Majority of the work will be Gauteng.) - 1 point per province outside Gauteng. If the office is outside of Gauteng, it must be managed by a Partner/Director. (Maximum 3 points for provincial locations)	- The firm must have a table listing the provinces they are based in. OR - A map of SA with the provinces highlighted where the firm has an office. If the office is outside of Gauteng, the firm must provide information on who manages the office. The name, suranme and designation of the person in charge must be provided. It must be managed by a Partner/Director. If it is not, no points will be allocated. This must be included in the Technical Proposal of the tender document.	8	8		
TOTAL POINTS FOR CAPACITY			30	29		
2	KNOWLEDGE AND EXPERIENCE					TOTAL POINTS PER CATEGORY
Revelant knowledge and experience to complete the work required						41
2.1	Number of assurance reviews (proactive reviews) that the audit firm has conducted from 2019 onwards on procurement transactions (for Eskom or other private or public companies): List of procurement transactions, value of the transaction audited and the relevant company details: - 1 - 3 transactions (above the value of R500m) - 2 point - 3 - 6 transactions (above the value of R500m) - 3 points - 6 and above transactions (above the value of R500m) - 6 points (maximum of 6 points for this section)	A list of the procurement transactions that the firm has audited (proactive reviews) must be listed in the tender documentation under the technical proposal. The value of the procurement transaction and the name of the client where the work was performed must be listed in the tender documentation under the technical proposal. Eskom transactions can be included in this list of transactions reviewed. If the value of the transaction is below R500m, 0 points cannot be allocated.	6	5		

NO	DETAILS	FURTHER INFORMATION FOR THE SCORING	MAXIMUM POINTS FOR THIS SECTION	EVALUATOR'S SCORE	FILE, SECTION AND PAGE NUMBER FROM THE TENDER DOCUMENTATION WHERE THIS INFORMATION CAN BE FOUND	TOTAL POINTS PER CATEGORY					
2.2	<p>The firm should demonstrate the experience in auditing of the individual responsible for the Eskom account.</p> <p>(Directors/Partners, Senior Manager, other):</p> <ul style="list-style-type: none">- If a Director, with at least 12 years experience in auditing, is responsible for the Eskom account as the main contact (6 points)- If a Senior Manager, with at least 10 years experience in auditing, is responsible for the Eskom account as the main contact (3 points)- If any other level is responsible for the Eskom account as the main contact (2 point) <p>(maximum of 6 points for this section)</p>	<p>An organogram which details the name, surname and title of each resource allocated to manage the Eskom account must be listed in the tender documentation under the technical proposal.</p> <p>A summarised CV for each resource must be provided in the Technical Proposal of the tender documentation. The CV for each resource should not exceed 1 page.</p> <p>If the CVs for the resource is not provided, no points will be allocated for the resource.</p>	6	6							
2.3	<p>Does the company have the following type of specialists available:</p> <p>(maximum of 15 points for this section)</p> <table><tr><td>- Procurement/Commercial specialist/Public sector/PFMA/PPPFA specialist (3 points)</td></tr><tr><td>- Risk management specialist (3 points)</td></tr><tr><td>- Corporate governance specialist (3 points)</td></tr><tr><td>- IT Audit (3 points)</td></tr><tr><td>- SHEQ Specialist (3 points)</td></tr></table>	- Procurement/Commercial specialist/Public sector/PFMA/PPPFA specialist (3 points)	- Risk management specialist (3 points)	- Corporate governance specialist (3 points)	- IT Audit (3 points)	- SHEQ Specialist (3 points)	<p>A summarised CV for each resource must be listed in the tender documentation under the technical proposal.</p> <p>The CV for each resource should not exceed 1 page. If the CVs for the resource is not provided, no points will be allocated for the resource.</p>	15	15		
- Procurement/Commercial specialist/Public sector/PFMA/PPPFA specialist (3 points)											
- Risk management specialist (3 points)											
- Corporate governance specialist (3 points)											
- IT Audit (3 points)											
- SHEQ Specialist (3 points)											
2.5	<p>The Director/Partner of the Eskom account is a Chartered Accountant (CA), Certified Internal Auditor (CIA), Professional Internal Auditor (PIA) or General Internal Auditor (GIA).</p> <p>(Maximum point 2)</p> <p>If the Director/Partner resigns, a replacement Director/Partner must be registered with one of the professionals bodies as listed above.</p>	<p>Evidence to prove that this qualification is achieved and is valid must be provided by the IIA.</p> <p>This must be listed in the tender documentation under the technical proposal.</p> <p>If the Director/Partner is studying for this qualification then no points will be awarded.</p>	2	2							
2.7	<p>The Senior Manager of the Eskom account is a Certified Internal Auditor (CIA), Professional Internal Auditor (PIA) or General Internal Auditor (GIA).</p> <p>(Maximum points 2)</p> <p>If the Senior Manager resigns, a replacement Senior Manager must be registered with one of the professionals bodies as listed above.</p>	<p>Evidence to prove that this qualification is achieved and is valid must be provided by the IIA.</p> <p>If the Senior Manager is studying for this qualification then no points will be awarded.</p>	2	2							
2.9	<p>The Manager/Supervisor of the Eskom account is a Certified Internal Auditor (CIA), Professional Internal Auditor (PIA) or General Internal Auditor (GIA).</p> <p>(Maximum points 2)</p> <p>If the Manager/Supervisor resigns, a replacement Manager/Supervisor must be registered with one of the professionals bodies as listed above.</p>	<p>Evidence to prove that this qualification is achieved and is valid must be provided by the IIA.</p> <p>If the Manager/Supervisor is studying for this qualification then no points will be awarded.</p>	2	2							
2.10	<p>Reference letter:</p> <ul style="list-style-type: none">- Did the firm provide a signed reference letter (not older than 12 months) from a private company or SOC? (5 points. If the letter is not signed, then 0 points)- Did the letter state the contact details for the person who could verify the letter's authenticity? (4 point. If the letter does not state the contact details of the person, then 0 point)- Does the letter confirm that the firm provides/provided assurance services? (3 points. If the letter does not provide the confirmation, then 0 point) <p>(maximum of 12 points for this section)</p> <p>The letter can be provided by Eskom.</p>	<ul style="list-style-type: none">- The letter can be provided by Eskom.- The letter can be from a state owned company or a private company.- The letter cannot be older than 12 months.- The letter must confirm that the firm provides/provided assurance services.- The letter must contain contact details of a person that can verify the contents of letter. The phone number or email address of the person must be listed.- The letter must be signed by the abovementioned person.	12	9							
TOTAL POINTS FOR KNOWLEDGE AND EXPERIENCE			45	41		TOTAL POINTS PER CATEGORY					
3	AUDIT METHODOLOGY/APPROACH					15					
3.1	<p>Provide detail of the firm's audit methodology, approach and technology used. (1 points if methodology is included in the Technical Proposal).</p> <p>If it includes details of the following:</p> <ul style="list-style-type: none">- methodology (Planning, Fieldwork, Reporting, Follow-up) (if all 4 sections are included then allocate 4 points),- technology (automated working paper system and CAATs) (2 points if both sections (automated working paper system and CAATs) are provided. If only 1 section is provided then only 1 point),- description of process for continuous improvement (2 points. if this is not provided, 0 points) <p>(maximum of 9 points for this section)</p>	<p>A document detailing the firm's:</p> <ul style="list-style-type: none">- audit methodology,- technology (automated working paper system, CAATs) and- description of process for continuous improvement must be listed in the tender documentation under the technical proposal. <p>If only the headings are provided with no content then no points will be allocated.</p> <p>If there is nothing provided, no points will be allocated.</p>	9	9							
3.2	<p>The firm provides confirmation that they will comply with NT Cost Containment drives.</p> <p>2 point if its listed. 0 points if not stated</p> <p>(maximum of 2 points for this section)</p>	<p>This statement must be listed in the tender documentation under the technical proposal.</p> <p>If it is not identified in technical section, a clarification can be sent to the firm to ask where in the tender documentation it is located. No additional documents will be accepted during the evaluation process)</p>	2	2							

NO	DETAILS	FURTHER INFORMATION FOR THE SCORING	MAXIMUM POINTS FOR THIS SECTION	EVALUATOR'S SCORE	FILE, SECTION AND PAGE NUMBER FROM THE TENDER DOCUMENTATION WHERE THIS INFORMATION CAN BE FOUND	TOTAL POINTS PER CATEGORY
3.3	The firm provides details of how they will ensure continuity if resources resign. 2 point if its listed. 0 points if not stated (maximum of 2 point for this section)	These details must be listed in the tender documentation under the technical proposal. If it is not identified in techincal section, a clarification can be sent to the firm to ask where in the tender documentation it is located. No additional documents will be accepted during the evaluation process)	2	2		
3.4	The firm confirms that they have a time management process/system (computerised or manual) which is able to track time spent per phase per review. The information on the time spent per phase must be provided during invoicing of projects 2 point if its listed. 0 points if not stated (maximum of 2 point for this section)	The firm must detail the process/system they use to track time spent on project. This statement must be listed in the tender documentation under the technical proposal. If it is not identified in techincal section, a clarification can be sent to the firm to ask where in the tender documentation it is located. No additional documents will be accepted during the evaluation process)	2	2		
TOTAL POINTS FOR AUDIT METHODOLOGY/APPROACH			15	15		TOTAL POINTS PER CATEGORY
4	QUALITY CONTROL					10
4.1	Provide the firm quality control policies and procedures. 5 points if the quality control policy and procedure is provided. 0 points if not provided. 2.5 points if only the quality control policy is provided. 2.5 points if only the quality control procedure is provided. (maximum of 5 point for this section)	A copy of the firm quality control policies and procedures. If only the headings are provided with no content then no points will be allocated. If there is nothing provided regarding the above, no points will be allocated.	5	5		
4.2	The firm provides their most recent external Quality Assurance Review report. The report must not be older than 5 years. 5 points if no findings were identified. 0 points if findings were identified or if the report is older than 5 years. (maximum of 5 point for this section)	A copy of the firm's most recent external Quality Assurance Review report must be provided. The report must not be older than 5 years.	5	5		
TOTAL POINTS FOR QUALITY CONTROL			10	10		



20 May 2022